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19249 U.S. PTO
10/619772
07/15/03

UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional application under 37 C.F.R. § 1.53(b))</small>		Attorney Docket No. IN-5429Div.	
		First Named Inventor Swaminathan RAMESH et al.	
		Filing Date July 15, 2003	
		Title Water-Based Acrylic Emulsion Dispersants Utilized as Grind Resins for Pigments and Method of Preparing the Same	
		Express Mail Certificate ER 083 675 921US	
APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents</small>		ADDRESS TO: Commissioner for Patents, P.O. BOX 1450, Alexandria, Virginia 22313-1450	

1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small>	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
2. <input type="checkbox"/> Applicant claims small entity status. <small>See 37 CFR 1.27.</small>	8. Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small>
3. <input checked="" type="checkbox"/> Specification [Total Pages <input type="text" value="35"/>] -(preferred arrangement set forth below) - Descriptive title of the invention - Cross References to Related Application - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the invention - Background of the invention - Background of the invention - Brief Summary of the invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure	a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS
9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR§3.73(b) Statement <input type="checkbox"/> Power Of Attorney <small>(when there is an assignee)</small> 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/ PTO-1449 <input type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small> 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small> 16. <input type="checkbox"/> other:

4. <input type="checkbox"/> Drawing(s) (35 U.S.C.113) [Total Sheets <input type="text"/>]	
5. <input checked="" type="checkbox"/> Oath or Declaration [Total Sheets <input type="text" value="03"/>] a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR § 1.63(d)) <small>(for continuation/divisional with Box 17 completed)</small> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting	
6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	

17. If a CONTINUING APPLICATION , check appropriate box, and supply the requisite information below and in a preliminary amendment: <input type="checkbox"/> Continuation <input checked="" type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No. <u>09/747,472</u> filed on <u>December 22, 2000</u> . Prior application information: Examiner <u>Harlan, Robert D.</u> Group/Art Unit: <u>1713</u> <small>For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application from which an oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.</small>	
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18. CORRESPONDENCE ADDRESS	
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Country	USA	Telephone	(248) 948-2021	Fax	(248) 948-2093
Name (print Type)	Anne Gerry Sabourin		Registration No. (Attorney Agent)	33,772	
Signature			Date	July 15, 2003	

FEE TRANSMITTAL

For FY 2002

Patent fees are subject to annual revision.

Attorney Docket No.

IN-5429Div.

First Named Inventor

Swaminathan RAMESH et al.

Filing Date

July 15, 2003

Title

Water-Based Acrylic Emulsion Dispersants Utilized as Grind Resins for Pigments and Method of Preparing the Same

Express Mail Certificate

ER 083 675 921US

TOTAL AMOUNT OF PAYMENT \$948.00**METHOD OF PAYMENT (check all that apply)**☒ Deposit Account: ☐ Credit Card ☐ Check

Deposit Account Number

23-3425

Deposit Account Name

BASF CORPORATION

The Commissioner is authorized to: (check all that apply)☒ Change fees indicated below ☒ Charge the Issue Fee Set in 37 CFR §☒ Change any addition fee(s) during the pendency of this application☐ Charge fee(s) indicated below, **except for the filing fee** to the above-identified deposit account**FEE CALCULATION****1. BASIC FILING FEE****Large Entity**

Fee	Code	Fee Description	
101	740	Utility filing fee	\$750.00
106	330	Design filing fee	
107	540	Plant filing fee	
108	790	Reissue filing fee	
114	160	Provisional filing fee	
SUBTOTAL (1)			\$750.00

2. EXTRA CLAIM FEES

Extra Claims Fee from below Fee Paid

Total Claims -20** = x = Independent Claims -3** = x =

Multiple Dependent

 =

**Or number previously paid, if greater; For Reissues, see below

Large Entity

Fee Fee

Code (\$)

103 18 Claims in excess of 20

102 80 Independent claims in excess of 3

104 270 Multiple dependent claims, if not paid

109 82 **Reissue independent claims over original patent

110 22 **Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

SIR after Examiner action

3. ADDITION FEES

Large	Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)		
105	130	Surcharge -late filing fee or oath	
147	2,520		
		SIR after Examiner action	
		SIR after Examiner action	
127	50	provisional ailing or cover sheet	
139	130	Non-English specification	
115	110	Extension for reply within first month	
116	400	Extension for reply within second month	
117	920	Extension for reply within third month	
118	1,440	Extension for reply within fourth month	
128	1,960	Extension for reply within fifth month	
119	320	Notice of Appeal	
119	320	Notice of Appeal	
120	320	Filing a brief in support of an appeal	
121	270	Request for oral hearing	
138	1,510	Petition to institute a public use proceeding	
140	110	Petition to revive-unavoidable	
141	1,280	Petition to revive-unintentional	
142	1,280	Utility issue fee (or reissue)	
143	450	Design issue fee	
144	670	Plant issue fee	
122	130	Petition to the Commissioner	
123	50	Petition related to provisional application	
126	240	Submission of information Disclosure Statement	
581	40	Recording each patent Assignment per property (time number of properties)	
146	790	Filing a submission after final rejection	
149	790	For each additional invention to be examined (37.CFR 1.129(b))	
Other	fee	(specify)	

Reduced By Basic Fee Paid Filing **SUBTOTAL (3)****18. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Labelor ☐ Correspondence-address below

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PATENT-TRADEMARK OFFICE

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Name (print Type)

Anne Gerry Sabourin

Registration No. (Attorney Agent)

33,772

PATENT

(Practitioner's Docket No. IN-5429Div)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Ramesh et al.	
Serial No.: This application is a Divisional Application of U.S. Serial No. 09747,472	Group Art Unit: Not Assigned
Filed: July 15, 2003	Examiner: Not Assigned

For: Water-Based Acrylic Emulsion Dispersents Utilized as Grind Resins for Pigments and Method of Preparing the Same.

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

EXPRESS MAIL CERTIFICATE

"Express Mail" label number ER083674921US Date of Deposit July 15, 2003

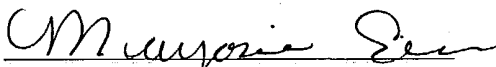
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<input checked="" type="checkbox"/>	Information Disclosure Statement
<input checked="" type="checkbox"/>	From PTO-1449

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Marjorie Ellis

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Signature of person mailing paper or fee)

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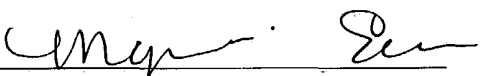
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<input checked="" type="checkbox"/>	Patent Application Data Sheet	01	Page(s)
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Filed: July 15, 2003	Examiner: Not Assigned

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"Express Mail" label number **ER083674921US** Date of Deposit **July 15, 2003**

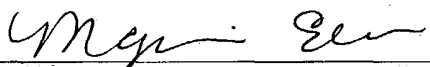
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"Express Mail" label number ER083674921US Date of Deposit July 15, 2003

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<input checked="" type="checkbox"/> Application					
Specification on	<input type="text" value="27"/>	pages;	claims on	<input type="text" value="07"/>	pages;
the abstract on	<input type="text" value="01"/>	page(s);	drawings on	<input type="text"/>	page(s).

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